



PATENT

2123  
1Fu

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: William J. Armstrong et al. : Date: September 16, 2005  
Group Art Unit: 2123 : IBM Corporation  
Examiner: Jason S. Proctor : Intellectual Property Law  
Serial No.: 09/939,232 : Dept. 917, Bldg. 006-1  
Filed: August 24, 2001 : 3605 Highway 52 North  
Title: SYSTEM FOR YIELDING TO A : Rochester, MN 55901  
PROCESSOR

INFORMATION DISCLOSURE STATEMENT AND PETITION REQUESTING  
CONSIDERATION UNDER 37 C.F.R. §1.97(d)

Mail Stop AF  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTOSB08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

09/20/2005 TBESHAH1 00000048 090465 09939232

01 FC:1806 180.00 DA

Docket No. ROC920000314US1  
Serial No. 09/939,232

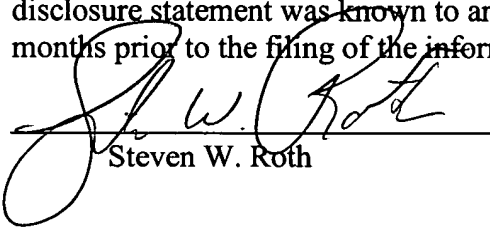
PATENT

This Information Disclosure Statement is being filed under 37 C.F.R. §1.97(d) after final office action or notice of allowance, but before payment of the issue fee. The certification specified in 37 C.F.R. §1.97(e) follows below.

The petition fee of \$180.00 set forth in 37 C.F.R. §1.17(i) is to be charged to our Deposit Account No. 09-0465.

CERTIFICATION

I hereby certify that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the information disclosure statement.

  
Steven W. Roth

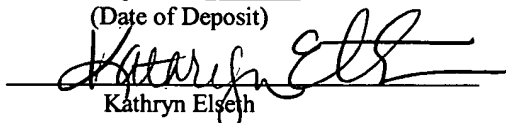
September 16, 2005  
Date

Date: September 16, 2005

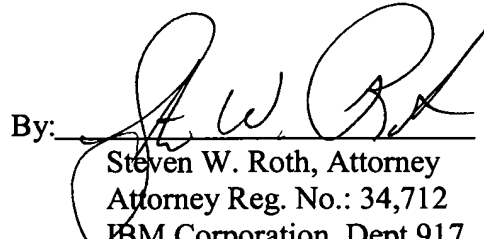
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop AF, Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450, on

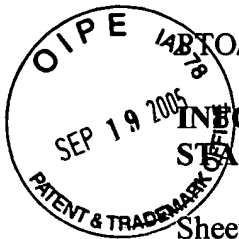
September 16, 2005

(Date of Deposit)

  
Kathryn Elsie

Respectfully submitted,

By:   
Steven W. Roth, Attorney  
Attorney Reg. No.: 34,712  
IBM Corporation, Dept 917  
3605 Highway 52 North  
Rochester, MN 55901-7829  
Telephone: (507) 253-1600



USPTO/SB/08A

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**Sheet 1 of 2

Application Number.: 09/939,232  
Filing Date: August 24, 2001  
First Named Inventor: William J. Armstrong  
Art Unit: 2123  
Examiner Name: Jason S. Proctor  
Attorney Docket Number.: ROC920000314US1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	<u>Document Number</u> Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		US - 4,400,769	08/23/1983	Kaneda et al.	
		US - 4,742,447	05/03/1988	Duvall et al.	
		US - 5,187,802 A	02/16/1993	Inoue et al.	
		US - 5,361,375 A	11/01/1994	Ogi, Yoshifumi	
		US - 5,694,606 A	12/02/1997	Pletcher et al.	
		US - 5,898,855 A	04/27/1999	Onodera et al.	
		US - Re. 36,462 E	12/21/1999	Chang et al.	
		US - 6,078,970 A	06/20/2000	Nordstrom et al.	
		US - 6,279,046 B1	08/21/2001	Armstrong et al.	
		US - 6,381,742 B2	04/30/2002	Forbes et al.	
		US - 6,487,654 B2	11/26/2002	Dowling, Eric M.	

**FOREIGN PATENT DOCUMENTS**

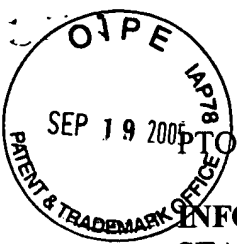
Examiner Initials	Cite No. <sup>1</sup>	<u>Foreign Patent Document</u> Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.



PTO/SB/08A

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

Sheet 2 of 2

Application Number.: 09/939,232  
Filing Date: August 24, 2001  
First Named Inventor: William J. Armstrong  
Art Unit: 2123  
Examiner Name: Jason S. Proctor  
Attorney Docket Number.: ROC920000314US1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	<u>Document Number</u>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear
		Number - Kind Code <sup>2</sup> (if known)			
		US - 6,615,303 B1	09/02/2003	Endo et al.	
		US - 6,711,605 B2	03/23/2004	Sekiguchi et al.	
		US - 6,760,783 B1	07/06/2004	Berry, Frank L.	
		US - 6,779,065 B2	08/17/2004	Murty et al.	
		US2002/0056076 A1	05/09/2002	Made, Peter A.J. van der	
		US2003/0101440 A1	05/29/2003	Hardin et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No. <sup>1</sup>	<u>Foreign Patent Document</u>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns or Lines Where Relevant Passages or Figs. Appear	T <sup>6</sup>
		Country <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> Code (if known)				

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.